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PATENT, TRADEMARK AND
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July 7, 2000

Assistant Commissioner for Patents
Box PCT
Washington, DC 20231

TRANSMITTAL OF VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS

Re: National Phase in the U.S. Based on PCT/GB98/03397
Inventors : Guido Christiaan PAESEN *et al*
Applicant : The Natural Environment Research Council
Title : TISSUE CEMENT
Serial No. : 09/554,547
Filed : May 12, 2000
Our File : 2488-1-001

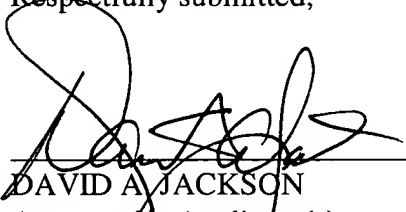
EXPRESS MAIL "CERTIFICATE MAILING NO." : EL629424625US
DATE OF DEPOSIT : JULY 7, 2000

Dear Sir:

Applicant herewith submits a Verified Statement Claiming Small Entity Status on behalf a Nonprofit Organization for filing in the above referenced application.

Due entry and filing hereof and a change on the record of the Small Entity Status of the Applicant are accordingly requested.

Respectfully submitted,


DAVID A. JACKSON
Attorney for Applicant(s)
Registration No. 26,742

DAJ/lis
Enclosure

KLAUBER & JACKSON

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July 7, 2000

Box PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Re: International Patent Application

No. PCT/GB98/03397, now

U.S. Serial No. 09/554,547

Applicants : Guido Christiaan PAESEN *et al*

Title : TISSUE CEMENT

Filing Date : May 12, 2000

Docket No. : 2488-1-001

EXPRESS MAIL "MAILING CERTIFICATE NO." : EL629424634US

DATE OF DEPOSIT : JULY 7, 2000

SUBMISSION OF MISSING REQUIREMENTS UNDER35 U.S.C. 371 IN THE UNITED STATESDESIGNATED/ELECTED OFFICE (DO/EO/US)

Dear Sir:

Responsive to the Notification of Missing Requirements Parts of Application under 35 U.S.C. 371 in the U.S. Designated/Elected Office, copy enclosed, Applicants submit herewith the following:

1. A combined Declaration and Power of Attorney making reference to the above-identified application, and in compliance with 37 CFR 1.63.
2. Verified Statement Claiming Small Entity Status.
3. Check in the amount of \$65.00 representing the surcharge (small entity) for late filing of the executed Declaration and Power of Attorney.

07/11/2000 ERIMAND 00000064 09554547

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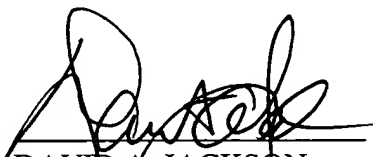
65.00 DP

Box PCT
July 7, 2000
Page 2

Attorney Docket: 2488-1-001

Applicant hereby authorizes that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,



DAVID A. JACKSON
Attorney for Applicant(s)
Registration No. 26,742

DAJ/ljs
Enclosures



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

#4.

09/554837

U.S. APPLICATION NO.	PAISEN	FIRST NAMED APPLICANT	2482-1-001	ATTY. DOCKET NO.
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DAVID A JACKSON
KLAUBER & JACKSON
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HACKENSACK NJ 07601

5611

INTERNATIONAL APPLICATION NO. PCT/US98/03397

I.A. FILING DATE

PRIORITY DATE

11/12/98

11/12/97

DATE MAILED: 13 JUN 2000

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ a non-English language.

☒ English.

☒ Translation of the international application into English.

☒ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☐ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 12 MAY 2000 and

☐ Information Disclosure Statement(s) filed and

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice *MUST* be returned with this response.

Enclosed:

☒ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Shakeel Ahmed SA

Telephone: 703 National Stage Processing
(703) 305-3059

DOCKETED CPI

09/554547



UNITED STATES DEPARTMENT OF COMMERCE
 Patent and Trademark Office
 Address: ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

U.S. APPLICATION NO. 09/554,547 FIRST NAMED APPLICANT G ATTY. DOCKET NO. - 0001

5611

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INTERNATIONAL APPLICATION NO.

PCT/GB98/03397

I.A. FILING DATE

PRIORITY DATE

11/12/98

11/12/97

06/13/00

DATE MAILED:

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:

1. ☒ is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
2. ☐ does not identify the specification to which it is directed.
3. ☐ does not identify the inventor(s).
4. ☐ does not identify the citizenship of each inventor.
5. ☐ does not state the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.

Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:

1. ☐ does not identify the city and state or city and foreign country of residence or each inventor.
2. ☐ does not state that the person making the oath or declaration:
 - a. ☐ has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
 - b. ☐ acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
3. ☐ does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
4. ☐ does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and filing date of the continuation in part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d)).

Shakeel Ahmed SA

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 (703) 306-3550